Mechanochemical Release of Non-Covalently Bound Guests from a Polymer-Decorated Supramolecular Cage

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Abstract: Supramolecular coordination cages show a wide range of useful properties including, but not limited to, complex molecular machine-like operations, confined space catalysis, and rich host–guest chemistries. Here we report the uptake and release of non-covalently encapsulated, pharmaceutically-active cargo from an octahedral Pd cage bearing polymer chains on each vertex. Six poly(ethylene glycol)-decorated bipyridine ligands are used to assemble an octahedral Pd₄¶(TPT)₄ cage. The supramolecular container encapsulates progesterone and ibuprofen within its hydrophobic nanocavty and is activated by shear force produced by ultrasonication in aqueous solution entailing complete cargo release upon rupture, as shown by NMR and GPC analyses.

Metal-mediated self-assembly of organic ligands into discrete nanoscopic structures, such as cages[1] and capsules[2] (amongst other motifs)[3,4] has generated a large number of unique structures over the last decades. Some of these assemblies were shown to encapsulate guest compounds with high affinity,[4] can be used as molecular transporters,[5] or were used to modulate physicochemical properties inside the confined spaces within the assemblies.[6] A variety of responsive systems have been reported using light[7a-c] or chemical stimuli as triggers.[7d-d]

Concomitantly, the mechanochemical activation of various metal–ligand bonds (metallocenes,[8] Zn, Cu, Ni, and Rh complexes,[9a,b] N-heterocyclic carbene complexes with Ag, Ru,[9c–d] and Cu,[9e–m] as well as Pd phosphines[10a] was successfully carried out. In addition, force activation of supramolecular rotaxanes bearing poly(methyl acrylate) (PMA) backbones was achieved by bond scission at the rotaxane junction,[10a,d] while on the other hand, catenanes are able to effectively distribute tensile deformation in macrocycles and can thus be considered a mechanical protecting group.[10b]

The mechanochemical release of cargo molecules from their respective carrier polymers is intrinsically challenging, as covalent chain scission generally results in the production of two shorter, but still polymeric, chain fragments. Methods cleverly circumventing this limitation led to proton release[11a] metal ion release following ferrocene rupture,[11b] furan derivative release,[11c–d] or release from sophisticated polymer-based microcapsules.[11e] In most of these systems, inertial cavitation generated by ultrasound was the method to exert force on the solutions of the carrier polymers.

The release and activation of drugs by ultrasound was achieved in micelles, liposomes, or microbubbles[12] or by synergistically increasing drug efficacy.[13] Recently, we established the ability of ultrasound in the context of polymer mechanochemistry[14] to activate force-responsive molecular moieties (mechanoophores) embedded in polymers to activate and release drugs.[16] However, many of the above examples compromise their universal applicability by relying on strong and selective carrier–cargo interactions or even chemical modification of the cargo molecules.

Herein, we report the ultrasound-induced disassembly of a cargo-loaded self-assembled supramolecular Pd₄¶(TPT)₄ cage with the release of its nanocofigured guests. We demonstrate examples of several non-covalently bound, completely unmodified and pharmaceutically active compounds (Figure 1) as cargo.

To enable the force-induced scission of the Pd–N units within the Pd cage 1a, modified bipyridines were chosen as cis-blocked, end-capped ligands for the Pd corners. Therefore, 4-bromomethyl-4’-methyl-2,2’-bipyridine was synthesized and poly(ethylene glycol) methyl ether (PEG, Mn = 10 kDa) was introduced by nucleophilic substitution, affording PEG-functionalized bipyridine 9 (see the SI, Figure S2). PEG was specifically chosen for its water solubility, which is necessary to utilize the hydrophobic effect of the cage cavity. Over two steps, the corresponding Pd compound bearing nitrate counter anions 11 was obtained in 92% yield. Adapting established procedures[10b] the PEG-functionalized octahedral cage 1a was synthesized in aqueous solution by using six equivalents of the PEG-functionalized Pd complex 11 and four equivalents of triazine TPT, giving access to the polymer-embedded star-shaped[15] cage 1a in almost quantitative yields. 1H NMR of 1a in D₂O showed the characteristic signals for the bipyridine and TPT panels, while the ethylene...
glycol repeat units and the methyl end groups were in accordance with the anticipated ratio (48:36:5330:18). Cage 1a was subsequently loaded with progesterone or ibuprofen, respectively, by adding excess drug to an aqueous solution of the cage. Guest uptake was again confirmed by 1H NMR in D2O by observing the distinctive shielding effect of the triazine panels on the encapsulated guests, leading to significant upfield shift of the peaks of around \( \delta = 1 \) ppm for both guests (Figure 2c) and unambiguously confirming encapsulation within the cavity of cage 1a. Additionally, the encapsulation of both drugs and several other guests was carried out with a model compound 2, without PEG units, thus allowing extensive NMR experiments including 1H DOSY and heteronuclear 2D measurements (see SI Figures S69–S74, S80–S98). Model compound 2 shows identical chemical shifts with guest compounds in 1H NMR and clearly indicates that each cavity encapsulates exactly one progesterone or two ibuprofen guests.

Subsequent sonication experiments of the cargo-loaded cage 1a were performed using an immersion probe sonicator (20 kHz) in water. The release was monitored by 1H NMR and is shown in Figure 2 for 1a·(ibuprofen)2. The characteristic upfield guest signals of the isopropyl and methyl groups 1, 2, and 9 (\( \delta = -0.45, 0.35, \) and \( 0.7 \) ppm), (Figure 2c, blue line) completely disappeared over the course of the sonication experiment. We hypothesized that ibuprofen released from the cage precipitated from the aqueous solution concomitant with signals appearing corresponding to possible cage fragments (\( \delta = 8.79–8.60, 8.16, 7.88–7.75 \) ppm). Hence the release process was unequivocally connected to cage fragmentation. Yet, the fragmentation pathway remained unclear in that the guest molecules were either released from 1) damaged, partially intact cages or 2) the removal of one ligand induced complete disassembly of the overall cage structure.

Quantitative release was also observed for the sonication of 1a·(progesterone)2, where the methyl groups serve as an excellent probe to follow the release in the upfield 1H NMR, undisturbed by the broad PEG resonances (Figure S6). Additionally, the fragmentation of the Pd cage 1a not bearing cargo was observed on a similar time scale (Figure S5).

To examine the cargo release mechanism, 1a·(ibuprofen)2 was sonicated for only 15 min. Within this short period of time we reasoned that a considerably smaller fraction of the cage 1a would have the opportunity to fracture by inertial cavitation, but in principle still might have been able to release the guests due to an increased cavity size through ultrasound-induced uncoiling of the polymers, facilitating guest “slippage”.[18] As anticipated, cage 1a showed only minute amounts of fragmentation product, but at the same time, no cargo release was observed by 1H NMR (Figure S8). To unambiguously prove the mechanochemical origin of the observed release, model compound 2 bearing no polymer chains, and thus hypothesized not to be susceptible to force activation, was sonicated under identical conditions (Figure S11). As expected, cage 2 did not show any changes in the 1H NMR after sonication experiments. Furthermore, control sonication experiments using 2·(ibuprofen)2,
showed neither cargo release nor core fragmentation (Figure S12).

To probe the force-induced degradation of the star-shaped polymeric supramolecular assembly in more detail, samples before and after sonication of each 1a, 1a·(ibuprofen), and 1a·(progesterone) were analyzed by gel permeation chromatography (GPC) using CHCl₃ as the eluent (Figure 3). The cages disassembled under most GPC measurement conditions because of interference from organic solvents and salts. While the molar mass distributions of the disassembled fragments before sonication matched those of the pristine PEG-functionalized bipyridine 9 (Figure S13), a shoulder appeared at lower molar masses after sonication. This was attributed to non-specific scission events of individual PEG chains either before or after the cage structure was already cleaved. Since the extent of observed non-specific scission was only marginal compared to the observed quantitative mechanochemical release of the cargo molecules by NMR, we reasoned that the mechanochemically weakest link lay within the cage structure and not within the polymer chains, rendering the force activation of 1a reasonably selective.

Next, we investigated whether an increase in the degree of polymerization of the attached PEG chains was also reflected in an increased tendency to release cargo. Therefore, we prepared an isostructural cage using PEG with $M_n = 20 \text{ kDa}$ at each vertex (Figure 4). Samples of the Pd cage 2 bearing no PEG chains, cage 1a bearing 220 repeat units at each ligand, and cage 1b bearing 440 repeat units at each ligand, were sonicated over the course of 1 h. Judging from ¹H NMR integration, the anticipated molar mass dependency was observed, corresponding to a roughly 100% increase in release rate when using 440 (cage 1b) instead of 220 repeat units (cage 1a, Figure 4).

In conclusion, we have presented the first example of a supramolecular coordination cage forming a star-shaped, watersoluble polymer structure which is responsive to ultrasonication-induced shear force in solution. We showcased the mechanochemical release of both ibuprofen and progesterone from the same parent cage structure. Since the release of small molecules from their latent macromolecular carriers by means of polymer mechanochemistry generally requires specifically functionalized cargo molecules, we anticipate that our combination of universal supramolecular encapsulation and force as an external stimulus will contribute to the development of molecular release systems and potentially advanced therapeutics.
Conflict of interest

The authors declare no conflict of interest.

Keywords: cage compounds - drug release host–guest systems - mecanochemistry - polymers
